



*Letter  
Box-seg*

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/817,762	03/26/2001	Edgar P. Spalding	WARF S212- CIP 13238/00061

CONFIRMATION NO. 7874

## FORMALITIES LETTER



\*OC00000006035415\*

SAUL EWING LLP  
Centre Square West  
38th Floor  
1500 Market Street  
Philadelphia, PA 19102-2186

Date Mailed: 05/03/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$690.
  - \$450 for 25 total claims over 20.
  - \$240 for 3 independent claims over 3.
- The oath or declaration is missing  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1530.
- This application does not contain a statement that the content of the sequence listing information

On September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

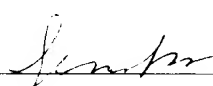
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145.

(September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

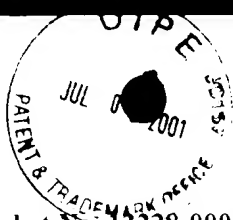
For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Practitioner's Docket No. 13238-00061

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Spalding, Edgar P.; Noh, Bosl  
Application No.: 09/817,762      Group No.: 1645  
Filed: March 26, 2001      Examiner: \*\*\*F03 Examiner Name\*\*\*  
For: mdr-Like ABC Transporter Gene from Plants

Box Missing Part  
Assistant Commissioner for Patents  
Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS  
-- NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed May 3, 2001.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

SMALL ENTITY STATUS

III. Applicant is entitled to Small Entity status.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

MAILING

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deposited with the United States Postal Service  
with sufficient postage as first class mail in an  
envelope addressed to the Assistant

Commissioner for Patents, Washington, D.C.

FACSIMILE

☐

transmitted by facsimile to the Patent and  
Trademark Office at (703) \_\_\_\_\_

\_\_\_\_\_  
(Type or print name of person certifying)

\_\_\_\_\_  
(Type or print name of person certifying)

## COMPLETION FEES

### IV.

#### 1. Filing Fee

Original patent application (37 C.F.R. Section 1.16(a))	\$355.00
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#### 2. Fees for Claims

Each independent claim in excess of 3 (37 C.F.R. Section 1.16(b))	\$120.00
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Each claim in excess of 20 (37 C.F.R. Section 1.16(c))	\$225.00
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#### 3. Surcharge Fees

Late payment of filing fee and/or late filing of original declaration or oath (37 C.F.R. Section 1.16(e))	\$65.00
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Total Completion Fees	\$765.00
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## EXTENSION OF TIME

V. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

## TOTAL FEE DUE

### VI. The total fee due is:

Completion fees	\$765.00
Extension fee (if any)	\$0.00

## PAYMENT OF FEES

VII. Enclosed is a check in the amount of 765.00.

Please charge Account No. 50-1089 for any fees that may be due by this paper.

**AUTHORIZATION TO CHARGE ADDITIONAL FEES**

VIII. The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 50-1089.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

37 C.F.R. Section 1.16(b), (c) and (d) (presentation of extra claims) 37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to Section 1.136(a))

37 C.F.R. Section 1.17 (application processing fees)

Date: \_\_\_\_\_

\_\_\_\_\_  
Janet E. Reed  
Registration No. 36,252  
Saul Ewing  
1500 Market Street, 38th Floor  
Centre Square West  
Philadelphia, PA 19103  
215-972-8386